

FEB 27 2007

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To: Examiner Robert Pond (3625)**Re:** 10/015,258 Revocation of Power of
Attorney with New Power of Attorney and
Change of Correspondence Address**Firm:** United States Patent and Trademark Office**Date / Time:** February 27, 2007**Street Address:****Phone:** (703) 305-5595**City, State Zip:** Alexandria, VA 22313**Fax:** (571) 273-8300**cc:****No. of Pages:** 10 (including cover)PRIVACY AND CONFIDENTIALITY NOTICE

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PATENT

FEB 27 2007

Paper No.

File: Graff-P2-01

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The Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on the date indicated below.

Date: February 27, 2007

Signed:

Peter K. Trzyna (Reg. No. 28,001)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Richard A. Graff
Serial No.	:	10/015,258
Filed	:	December 11, 2001
For	:	BIDDER SYSTEM USING MULTIPLE COMPUTERS COMMUNICATING DATA TO CARRY OUT SELLING FIXED INCOME INSTRUMENTS
Group Art Unit	:	3625
Examiner	:	POND, Robert M.

MS: No Fee Amendment
The Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

S I R :

Transmitted herewith for filing in the above-identified patent application are the
following:

1. Revocation of Power of Attorney with New Power of Attorney and Change
of Correspondence Address;
2. Notice of Recordation recorded at Reel 012383, Frame 0685; and
3. Statement Under 37 CFR 3.73(b).

Ser. No. 10/015,258
Atty Ref: Graff-P2-01

Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyna
(Reg. No. 32,601)

Date: February 27, 2007

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824

FEB 27 2007

PTO/SB/82 (01-06)

Approved for use through 12/31/2008. OMB 0654-0035

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/015,258
Filing Date	December 11, 2001
First Named Inventor	Graff, Richard A.
Art Unit	3625
Examiner Name	Pond, Robert M.
Attorney Docket Number	Graff-P2-01

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

28710

☒ Please change the correspondence address for the above-identified application to:☒ The address associated with
Customer Number:

28710

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Richard A. Graff, Manager of Window Bench I L.L.C., Partner in Graff/Ross H

Date

Feb. 1 2007

Telephone

(312) 923-8144

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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P2-01

PTO/SB/96 (09-08)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Graff/Ross HoldingsApplication No./Patent No.: 10/015,258 Filed/Issue Date: December 11, 2001Entitled: BIDDER SYSTEM USING MULTIPLE COMPUTERS COMMUNICATING DATA TO CARRY OUT SELLING FIXED INCOME INSTRUMENTSGraff/Ross Holdings a Partnership
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012383, Frame 0685, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Richard A. Graff Feb 1, 2007
Signature Date
Richard A. Graff (312) 923-8144
Printed or Typed Name Telephone Number
Manager of Window Bench I L L C, Partner
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEBRUARY 12, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
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PETER K. TRZYNA
P.O. BOX 7131
CHICAGO, IL 60680



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UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/11/2001

REEL/FRAME: 012383/0685
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GRAFF, RICHARD A.

DOC DATE: 12/11/2001

ASSIGNEE:

GRAFF/ROSS HOLDINGS
400 N. MICHIGAN
CHICAGO, ILLINOIS 60611

SERIAL NUMBER: 10015258
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

(2-26-2001

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



COVER SHEET

U.S. Department of Commerce
Patent and Trademark OfficeTo the Honorable Commissioner of Patents and Trademarks
101925276

Attach the attached original document or copy thereof.

1. Name of conveying party(ies):

Richard A. Graff

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date:

2. Name and address of receiving party(ies):

Graff/Ross Holdings

Internal Address:

Street Address: 400 N. Michigan

City: Chicago

State: Illinois

Zip: 60611

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is December 11, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter K. Trzyna

Internal Address: P.O. Box 7131

City: CHICAGO State: IL Zip: 60680

6. Total number of applications and patents involved

7. Total fee (37 C.F.R. 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0235

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter K. Trzyna

Name of Person Signing

Signature

Date

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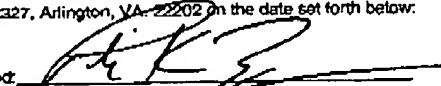
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Signed: 
Peter K. Trzyna (Reg. No. 32,601)

Date: December 11, 2001

PATENT

Paper No. 1

File: Graff-P2-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : GRAFF, Richard A.
Serial No. :
Filed : December 11, 2001
For : BIDDER SYSTEM USING MULTIPLE COMPUTERS
COMMUNICATING DATA TO CARRY OUT
SELLING FIXED INCOME INSTRUMENTS
Group Art Unit :
Examiner :

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

ASSIGNMENT OF INVENTION

SIR:

WHEREAS, I, the undersigned am the sole inventor of the subject matter
described in the above-referenced patent application; and

WHEREAS, Graff/Ross Holdings (hereinafter, the "Assignee"), a
partnership organized under the laws of the State of Illinois having an address at 400
North Michigan Avenue, Chicago, Illinois 60611, has an acknowledged right to the entire
right, title, and interest to the patent application, the invention rights therein, and any

applications related thereto;

NOW, THEREFORE, for consideration reflected in a written agreement executed on 16 April 1998 between the undersigned inventor, Graff/Ross Holdings, Electrum Partners L.L.C., KC ABBE Holdings L.L.C., and Bilger Corporation, I hereby acknowledge that I have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions disclosed or described in the above-identified patent application and any and all rights thereto, including the above-identified patent application and any and all United States patents which may be granted pursuant thereto, as well as any reissues or reexamination patent(s); and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said invention to the Assignee its successors, and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant that I have full right to convey the entire interest assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

THIS ASSIGNMENT of Invention is the entire agreement as to its subject matter. If any portion of this Assignment of Invention is determined to be invalid, illegal, or unenforceable under any present or future law by the final judgment of any court of competent jurisdiction, the remainder of this Assignment of Invention shall not be effected thereby. It is the intention of the undersigned that if any portion of this Assignment of Invention is determined to be invalid, a portion as similar in terms to such portion as is possible to be legal, valid, and enforceable shall be added in lieu thereof.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal on the day
and year set opposite my signature.

Inventor's Printed Full Name:

Richard A. Graff

Inventor's Signature:

Richard A. Graff

Date:

December 11, 2001

Citizenship:

U.S. Citizen

Residence & Post Office Address:

222 East Pearson Street, #2308

City:

Chicago

State Zip:

Illinois, 60611 USA

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